

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 212810US2PCT	SERIAL NO. 09/926,078	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT	Shinsuke UGA, et al.		
		FILING DATE	August 27, 2001		
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUB CLASS
	AA				
	AB				
	AC				
	AD				
	AE				
	AF				
	AG				
	AH				
	AI				
	AJ				
	AK				
	AL				
	AM				
	AN				
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AO	10-22996	01/23/98	JAPAN	
	AP	7-245606	09/19/95	JAPAN (submitting English Abstract only)	
	AQ	7-327257	12/12/95	JAPAN (submitting English Abstract only)	
	AR	10-66157	03/06/98	JAPAN	
	AS	5-22284	01/29/93	JAPAN (submitting English Abstract only)	
	AT				
	AU				
	AV				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
	AW	D. W. DAVIES, et al., Network Security, pages 77-78 and 121-123, "SECURITY FOR COMPUTER NETWORKS", 1985 (submitting partial English translation only)			
	AX	3rd. Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Security Architecture (Release 1999), pages 1-62, "3GPP TS 33. 102 V3.6.0", October 2000			
	AY				
	AZ				
Examiner <i>MC</i>				Date Considered <i>9/30/01</i>	
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

OCT 21 2002

SHEET 1 OF 1

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PATENT & TRADEMARK OFFICEATTY DOCKET NO.  
212810US2PCT

SERIAL NO.

09/920,078

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shinsuke UGA, et al.

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OCT 22 2002

FILING DATE

August 27, 2001

GROUP

Technology Center 2600

2663

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
JR	AA	6,016,350	01/18/2000	C. FUNABE, et al.			
JR	AB	5,987,137	11/16/99	A. KARPPANEN, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
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	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	YES	NO
JR	AO	WO 97/13353	04/10/97	WIPO			
JR	AP	0 464 563	01/08/92	EUROPE			
JR	AQ	WO 98/49855	11/05/98	WIPO			
	AR						
	AS						
	AT						
	AU						
	AV						

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

AW	ETSI TS 133 102 V3.3.1, pgs. 1-60, XP-002167547, "UNIVERSAL MOBILE TELECOMMUNICATIONS SYSTEM (UMTS); 3G SECURITY; SECURITY ARCHITECTURE (3G TS 33.102 VERSION 3.3.1 RELEASE 1999)", January 1, 2000
AX	
AY	
AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

*Y. Carter*

Date Considered

*0/21/04*

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PATENT AND TRADEMARK OFFICE

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ATTY DOCKET NO. 212810US2PCT	SERIAL NO. 09/926,078
APPLICANT Shinsuke UGA, et al.	
FILING DATE August 27, 2001	GROUP 2003 2680

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
J	AA	5,960,086	09/28/99	M. M. ATALLA			
J	AB	5,930,239	07/27/99	J. E. TURCOTTE			
J	AC	5,878,142	03/02/99	A. A. CAPUTO, et al.			
J	AD	5,239,294	08/24/93	M. B. FLANDERS, et al.			RECEIVED
	AE						JUN 20 2003
	AF						
	AG						Technology Center 2600
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	AJ						
	AK						
	AL						
	AM						
	AN						

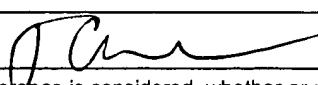
## FOREIGN PATENT DOCUMENTS

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	AS				
	AT				
	AU				
	AV				

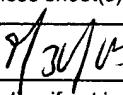
## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner



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